

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10656097
Filing Date	2003-09-05
First Named Inventor	Bhushan KHALADKAR
Art Unit	2178
Examiner Name	Tsui, Wilson W.
Attorney Docket Number	OI7035732001

U.S. PATENTS[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
W7	1	5455945	A	1995-10-03	VanderDrift	
W7	2	6665663	B2	2003-12-16	Lindsay et al.	
W7	3	6678672	B1	2004-01-13	Ramasamy et al.	
W7	4	6678686	B1	2004-01-13	Patel et al.	
W7	5	6832219	B2	2004-12-14	Lal	

If you wish to add additional U.S. Patent citation information please click the Add button.

[Add](#)**U.S. PATENT APPLICATION PUBLICATIONS**[Remove](#)

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
W7	1	20030014393	A1	2003-01-16	Kabra et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10656097
Filing Date		2003-09-05
First Named Inventor	Bhushan KHALADKAR	
Art Unit	2178	
Examiner Name	Tsui, Wilson W.	
Attorney Docket Number	OI7035732001	

WT	2	20030037048	A1	2003-02-20	Kabra et al.	
WT	3	20030074419	A1	2003-04-17	VanderDrift	
WT	4	20040060007	A1	2004-03-25	Gottlob et al.	
WT	5	20040068487	A1	2004-04-08	Barton et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
WT	1	BRUNO, N. et al., "Holistic Twig Joins: Optimal XML Pattern Matching", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, pp. 310 - 321, ACM Press, USA	<input type="checkbox"/>
WT	2	CHAUDHURI, S. et al., "On Relational Support for XML Publishing: Beyond Sorting and Tagging", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, ACM Press, USA	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10656097
Filing Date	2003-09-05
First Named Inventor	Bhushan KHALADKAR
Art Unit	2178
Examiner Name	Tsui, Wilson W.
Attorney Docket Number	OI7035732001

WT	3	DAMIANI, E. et al., "A Fine-Grained Access Control System for XML Documents", ACM Transactions on Information and System Security (TISSEC), May 2002, pp. 169 - 202, Volume 5, Issue 2, ACM Press.	<input type="checkbox"/>
WT	4	DeHAAN, D. et al., "A Comprehensive XQuery to SQL Translation Using Dynamic Interval Encoding", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, pp. 623 - 634, ACM Press, USA.	<input type="checkbox"/>
WT	5	FIEBIG, T. et al., "Algebraic XML Construction and its Optimization in Natix", World Wide Web, January 2002, pp. 167 - 187, Volume 3, Issue 4, Kluwer Academic Publishers, The Netherlands	<input type="checkbox"/>
WT	6	FLESCA, S. et al., "A Query Language for XML Based on Graph Grammars", World Wide Web, August 2002, pp. 125 - 157, Volume 5, Issue 2, Kluwer Academic Publishers, The Netherlands	<input type="checkbox"/>
WT	7	FUNDERBURK, J. et al., "XML Programming with SQL/XML and XQuery", IBM Systems Journal, October 2002, pp. 642 - 665, Volume 41, Number 4, IBM Corporation, USA	<input type="checkbox"/>
WT	8	IVES, Z. et al., "An XML Query Engine for Network-Bound Data", The VLDB Journal - The International Journal on Very Large Data Bases, December 2002, pp. 380 - 402, Volume 11, Issue 4, Springer-Verlag New York, Inc., USA	<input type="checkbox"/>
WT	9	JIANG, H. et al., "Path Materialization Revisited: An Efficient Storage Model for XML Data", Australian Computer Science Communications, Proceedings of the 13th Australasian Database Conference, January 2002, pp. 85 - 94, Volume 24, Issue 2, Australian Computer Society, Inc., and the IEEE Computer Society Press, Australia	<input type="checkbox"/>
WT	10	KATZ, H. et al. "XQuery from the experts: A guide to the W3C XML query language" August 2003, ISBN 0-321-18060-7, publication information pages, copyright information pages, Chapter 6 and Chapter 7, page 384	<input type="checkbox"/>
WT	11	LEE, D. et al., "NeT & CoT: Translating Relational Schemas to XML Schemas Using Semantic Constraints", Proceedings of the 11th International Conference on Information and Knowledge Management, November 2002, pp. 282 - 291, ACM Press, USA	<input type="checkbox"/>
WT	12	LI, C. et al., "Composing XSL Transformations with XML Publishing Views", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, pp. 515 - 526, ACM Press, USA.	<input type="checkbox"/>
WT	13	LI, X. et al., "Compiler Support for Efficient Processing of XML Datasets", Proceedings of the 17th Annual International Conference on Supercomputing, June 2003, pp. 42 - 52, ACM Press, USA	<input type="checkbox"/>


**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10656097
Filing Date	2003-09-05
First Named Inventor	Bhushan KHALADKAR
Art Unit	2178
Examiner Name	Tsui, Wilson W.
Attorney Docket Number	OI7035732001

WT	14	PAPAKONSTANTINOY, Y. et al., "QURSED: Querying and Reporting Semistructured Data", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, ACM Press, USA	<input type="checkbox"/>
WT	15	SHANMUGASUNDARAM, J. et al., "Efficiently Publishing Relational Data as XML Documents", The International Journal on Very Large Data Bases, September 2001, pp. 133 - 154, Volume 10, Issue 2-3, Springer-Verlag New York, Inc., USA	<input type="checkbox"/>
WT	16	TATARINOV, I. et al., "Storing and Querying Ordered XML Using a Relational Database System", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, ACM Press, USA	<input type="checkbox"/>
WT	17	WONG, R., "The Extended XQL for Querying and Updating Large XML Databases", Proceedings of the 2001 ACM Symposium on Document Engineering, November 2001, pp. 95 - 104, ACM Press, USA	<input type="checkbox"/>
WT	18	ZHANG, X. et al., "Honey, I Shrunk the XQuery! - An XML Algebra Optimization Approach", Proceedings of the 4th International Workshop on Web Information and Data Management, November 2002, pp. 15 - 22, ACM Press, USA	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature		Date Considered	3/14/07
--------------------	---	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.